



**Resources Department
Town Hall, Upper Street, London, N1 2UD**

AGENDA FOR THE AUDIT COMMITTEE AND AUDIT COMMITTEE (ADVISORY)

Members of the Audit Committee and Audit Committee (Advisory) are summoned to an additional meeting, which will be held in Committee Room 4, Town Hall, Upper Street, N1 2UD on **27 June 2019 at 6.30 pm***

***please note start time**

Enquiries to : Mary Green
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Despatched : 19 June 2019

Membership 2019/2020

Councillor Nick Wayne (Chair)
Councillor Sue Lukes (Vice-Chair)
Councillor Rowena Champion
Councillor Anjna Khurana
Alan Begg (Independent member)
Nick Whitaker (Independent member)

Substitute Members

Councillor Vivien Cutler
Councillor Mouna Hamitouche MBE
Councillor Sara Hyde
Councillor Flora Williamson

Quorum: is 3 Councillors



A. Formal Matters

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1. Apologies for Absence
2. Declaration of substitute members
3. Declarations of interest

If you have a **Disclosable Pecuniary Interest*** in an item of business:

- if it is not yet on the council's register, you **must** declare both the existence and details of it at the start of the meeting or when it becomes apparent;
- you may **choose** to declare a Disclosable Pecuniary Interest that is already in the register in the interests of openness and transparency.

In both the above cases, you **must** leave the room without participating in discussion of the item.

If you have a **personal** interest in an item of business **and** you intend to speak or vote on the item you **must** declare both the existence and details of it at the start of the meeting or when it becomes apparent but you **may** participate in the discussion and vote on the item.

***(a) Employment, etc** - Any employment, office, trade, profession or vocation carried on for profit or gain.

(b) Sponsorship - Any payment or other financial benefit in respect of your expenses in carrying out duties as a member, or of your election; including from a trade union.

(c) Contracts - Any current contract for goods, services or works, between you or your partner (or a body in which one of you has a beneficial interest) and the council.

(d) Land - Any beneficial interest in land which is within the council's area.

(e) Licences- Any licence to occupy land in the council's area for a month or longer.

(f) Corporate tenancies - Any tenancy between the council and a body in which you or your partner have a beneficial interest.

(g) Securities - Any beneficial interest in securities of a body which has a place of business or land in the council's area, if the total nominal value of the securities exceeds £25,000 or one hundredth of the total issued share capital of that body or of any one class of its issued share capital.

This applies to **all** members present at the meeting.

B. Items for Decision - Audit and Audit (Advisory) Committee

1. Whistleblowing complaint update

1 - 4

C. Urgent non-exempt items

Any non-exempt items which the Chair agrees should be considered urgently by reason of special circumstances. The reasons for urgency will be agreed by the Chair and recorded in the minutes.

D. Exclusion of press and public

To consider whether, in view of the nature of the remaining item on the agenda, it is likely to involve the disclosure of exempt or confidential information within the terms of the Access to Information procedure rules in the Constitution and, if so, whether to exclude the press and public during discussion thereof.

E. Confidential/exempt items

1. Whistleblowing complaint update - exempt appendix (to follow) -

F. Urgent exempt items (if any)

Any exempt items which the Chair agrees should be considered urgently by reason of special circumstances. The reasons for urgency will be agreed by the Chair and recorded in the minutes.

The next ordinary meeting of the Audit Committee and Audit Committee (Advisory) will be held on 29 July 2019

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Report of: Corporate Director of Resources

Meeting of:	Date:	Ward(s):
Audit Committee	27 June 2019	n/a

Delete as appropriate	Non-exempt	
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THE APPENDIX TO THIS REPORT IS NOT FOR PUBLICATION

The appendix to this report is exempt and not for publication as it contains the following category of exempt information as specified in Paragraph 3, Schedule 12A of the Local Government Act 1972, namely: Information relating to the financial or business affairs of any particular person (including the authority holding that information).

SUBJECT: WHISTLEBLOWING COMPLAINT UPDATE

1. Synopsis

- 1.1 This report is to provide an update to the committee on the progress of a whistleblowing complaint initially considered at the meeting on 15 January 2019.

2. Recommendations

- 2.1 To consider the options available to the Committee and the implications for the Council and the complainant.
- 2.2 To ensure that the most appropriate and reasonable outcome is achieved for the complainant and the Council.
- 2.3 To authorise the Acting Director of Law and Governance to take the actions outlined in the exempt appendix.

3. Background

- 3.1 Whistleblowing arrangements are a key element of the Council's overall governance arrangements. Whistleblowing allows employees, members, contractors and others, to confidentially raise concerns surrounding fraud and corruption.
- 3.2 The Council takes all allegations of impropriety very seriously. An external investigation is currently ongoing into this complaint.

4. Implications

4.1 Financial implications:

This information is contained within the exempt appendix. The Council's s151 officer is satisfied that the costs can be contained within the Council's budget.

4.2 Legal Implications:

External counsel's advice on the matter is that the actions proposed in the exempt appendix are appropriate.

4.3 Environmental Implications

None arising from the content of this report.

4.4 Resident Impact Assessment:

The council must, in the exercise of its functions, have due regard to the need to eliminate discrimination, harassment and victimisation, and to advance equality of opportunity, and foster good relations, between those who share a relevant protected characteristic and those who do not share it (section 149 Equality Act 2010). The council has a duty to have due regard to the need to remove or minimise disadvantages, take steps to meet needs, in particular steps to take account of disabled persons' disabilities, and encourage people to participate in public life. The council must have due regard to the need to tackle prejudice and promote understanding.

A Resident Impact Assessment has not been completed because the decision currently being sought does not have direct impacts on residents.

5. Reason for recommendations

- 5.1 To ensure that the most appropriate and reasonable outcome is achieved for the complainant and the Council.

Appendices

Appendix 1 – Whistleblowing Update (Exempt)

Final report clearance:

Signed by:

Corporate Director of Resources

Date

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